

# United States Patent Application

## DECLARATION

**As a below named inventor, I declare that:**

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one inventor is listed below) or an original, first and joint inventor (if more than one inventor is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: 4F<sup>2</sup> EEPROM NROM MEMORY ARRAYS WITH VERTICAL DEVICES the specification of which is attached hereto.

I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 (see attached page).

I claim foreign priority benefits under 35 U. S.C. § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached

I claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

I claim the benefit under 35 U.S.C. § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in Title 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. or PCT Application Number	Filing Date (MM/DD/YYYY)	Patent No.

**DECLARATION**

Serial No.: Unknown

Title: 4F<sup>2</sup> EEPROM NROM MEMORY ARRAYS WITH VERTICAL DEVICES

Atty. Docket 400.264US01

Filed: Herewith

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I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of inventor: **Leonard Forbes**  
Citizenship: **United States of America**  
Post Office Address: **965 NW Highland Terrace**  
**Corvallis, OR 97330**

Signature:  Date: 2-27-04

**DECLARATION**

Serial No.: Unknown

Title: 4F<sup>2</sup> EEPROM NOROM MEMORY ARRAYS WITH VERTICAL DEVICES

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§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) it refutes, or is inconsistent with, a position the applicant takes in:
  - (i) opposing an argument of unpatentability relied on by the Office, or
  - (ii) asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

(e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

**DECLARATION**

Serial No.: Unknown

Title: 4F<sup>2</sup> EEPROM NROM MEMORY ARRAYS WITH VERTICAL DEVICES

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S/N UnknownPATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Leonard Forbes	Examiner:	Unknown
Serial No.:	Unknown	Group Art Unit:	Unknown
Filed:	Filed Herewith	Docket:	400.264US01
Title:	4F <sup>2</sup> EEPROM NROM MEMORY ARRAYS WITH VERTICAL DEVICES		

POWER OF ATTORNEY BY ASSIGNEE AND  
CERTIFICATE BY ASSIGNEE UNDER 37 CFR § 3.73(b)

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Micron Technology, Inc., assignee of the entire right, title and interest in the above-identified application filed herewith, hereby appoints the attorneys and agents of the firm of LEFFERT JAY & POLGLAZE, P.A., listed as follows:

Bolvin, Kenneth W. Reg. No. 34,125  
 Leffert, Thomas W. Reg. No. 40,697  
 Myrum, Tod A. Reg. No. 42,922

McLaughlin, Christopher J. Reg. No. 42,869  
 Polglaze, Daniel J. Reg. No. 39,801  
 Walseth, Andrew C. Reg. No. 43,234

and also attorneys Michael L. Lynch (Reg. No. 30,871) and Russell D. Slifer (Reg. No. 39,838) of Micron Technology, Inc., as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

The assignee certifies that the above-identified assignment has been reviewed and to the best of the assignee's knowledge and belief, title is in the assignee.

Please direct all correspondence regarding this application to the following:

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**MICRON TECHNOLOGY, INC.**

Dated: 2-16-2004

By: ML

Name: Michael L. Lynch  
 Title: Chief Patent Counsel